

Energy levels of finite-volume two-particle scattering states with Bloch's boundary conditions

Nazario Tantalo

INFN sez. "Tor Vergata"

Centro Ricerche e Studi "E. Fermi"

September 20, 2006

- Bloch's boundary conditions & external fields (**twisted boundary conditions**)
 - the "gauge crystal"
 - continuous physical momenta on a finite volume
- two-particle scattering states
- Lellouch-Lüscher formula
- two-particle scattering states with Bloch's b.c.
- isospin breaking
- two state non-relativistic model

Bloch's boundary conditions (b.b.c.) are defined as

$$\psi(\mathbf{x} + \mathbf{e}_i L) = e^{i\theta_i} \psi(\mathbf{x}), \quad 0 \leq \theta_i < 2\pi \quad \theta_0 = 0$$

in a gauge theory this is equivalent to "change" the gauge field



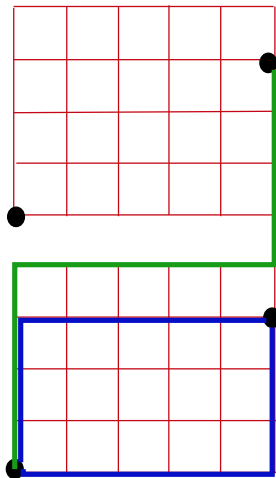
to the interaction it has been added an external field

$$\nabla_{\mu}(\theta)\psi(x) = \frac{1}{a} [\lambda_{\mu} U_{\mu}(x)\psi(x + a \hat{\mu}) - \psi(x)]$$

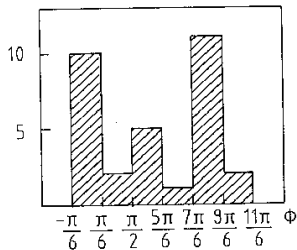
$$\lambda_{\mu} = e^{\frac{ia\theta_{\mu}}{L}}$$

the gauge crystal (i)

In order to reduce finite volume effects in early days lattice simulations, **Martinelli, Parisi, Petronzio, Rapuano (1983)** first considered a “gauge crystal”



- very very small lattices ($5^3 \times 10$)
- strong fluctuations in the meson masses
- freezing of the gauge configurations in some metastable states



$$\hat{P}_i = \langle \sum_x \text{Tr} \prod_{n=0}^{N_i-1} U(x + ne_i, i) \rangle$$

the gauge crystal (ii)

each gauge configuration is used 3×3 times by transforming the boundary gauge links

$$U(N_i - 1, i) \mapsto \left\{ U(N_i - 1, i), e^{\frac{2\pi i}{3}} U(N_i - 1, i), e^{-\frac{2\pi i}{3}} U(N_i - 1, i) \right\}$$

$$\sum \left[\begin{array}{c} \text{Diagram 1} \\ \text{Diagram 2} \end{array} \right] = \left(1 + e^{\frac{2\pi i}{3}} + e^{-\frac{2\pi i}{3}} \right) \left[\begin{array}{c} \text{Diagram 3} \\ \text{Diagram 4} \end{array} \right] = 0$$

The diagrammatic equation shows a sum over two configurations. The left side has two 4x4 grids. The top grid has a green path starting at (1,1), going right to (2,1), then up to (2,2), then right to (2,3), and ending at (2,3). A blue arrow points up from (2,2) to (1,2). The bottom grid has a green path starting at (3,1), going right to (3,3), then up to (3,2), then right to (3,1), and ending at (3,1). A blue arrow points down from (3,2) to (2,2). The right side shows two similar grids, but the blue arrow is absent. The entire expression is set equal to zero.

$$\int_0^{3L} dx \bar{\psi}(x) \hat{D}(x) \psi(x) \xrightarrow{D(x+L)=D(x)} \int_0^L dx \left[\bar{\psi}(x) \hat{D}(x) \psi(x) + \bar{\psi}(x) \hat{D}(x) \psi(x) + \bar{\psi}(x) \hat{D}(x) \psi(x) \right]$$

Bloch's boundary conditions, a brief history

- in the large N limit:

[Gross & Kitazawa [Nucl. Phys. B206 \(1982\)](#)
[Kiskis, Narayanan & Neuberger [hep-lat/0203005](#)
[Kiskis, Narayanan & Neuberger [hep-lat/0308033](#)

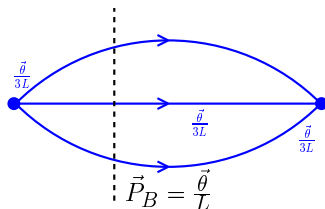
- at finite temperature:

[Roberge & Weiss [Nucl. Phys. B275 \(1986\)](#)
[many others]

- in the Schrödinger Functional:

[Jansen & al. [hep-lat/9512009](#)
[many others]
[Bucarelli & al. [hep-lat/9808005](#)
[Guagnelli & al. [hep-lat/0303012](#)

- Aharonov–Bohm effect (χ -PT, suggesting lattice)
[Bedaque [nucl-th/0402051](#)



flavoured mesons with continuous momenta

In [hep-lat/0405002] we coupled the external field to the flavour

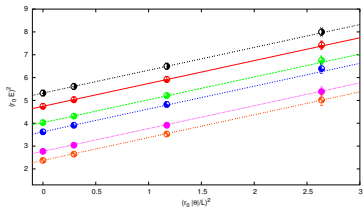
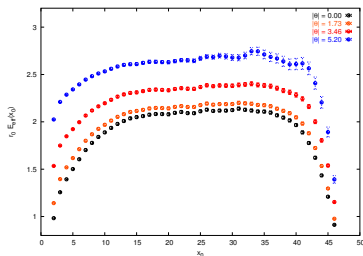
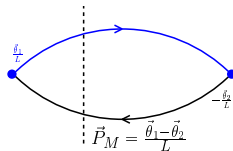
spatial momenta are quantized according to

$$\int d\mathbf{p} e^{i\mathbf{p}\cdot(\mathbf{x}+\mathbf{e}_i L)} \psi(t; \mathbf{p}) = \int d\mathbf{p} e^{i(\mathbf{p}\cdot\mathbf{x}+\theta_i)} \psi(t; \mathbf{p})$$

$$e^{i\mathbf{p}_i L} = e^{i\theta_i}$$

$$p_i = \frac{\theta_i}{L} + \frac{2\pi n}{L}, \quad n \in \mathbb{Z}^3$$

a physical momentum transfer



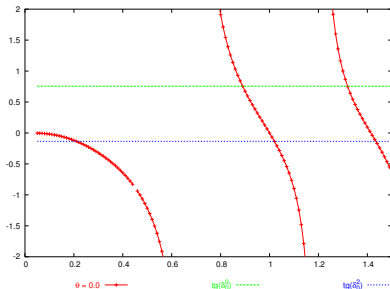
- for p.b.c. Lüscher has derived the quantization condition

Commun. Math. Phys. 104 (1986) 177
 Commun. Math. Phys. 105 (1986) 153
 Nucl. Phys. B354 (1991) 531
 Nucl. Phys. B364 (1991) 237

$$\tan \delta_0(k) = -\tan \phi(q),$$

$$q = \frac{kL}{2\pi}, \quad \tan \phi(q) = -\frac{q\pi^{3/2}}{\mathcal{Z}_{00}(1, q^2)},$$

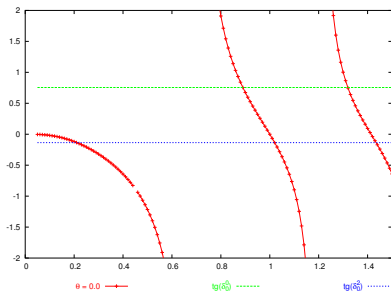
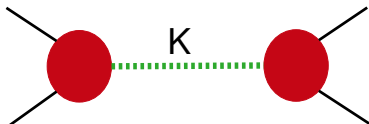
$$\mathcal{Z}_{00}(s, q^2) = \frac{1}{\sqrt{4\pi}} \sum_{\mathbf{n} \in \mathbb{Z}^3} \frac{1}{(\mathbf{n}^2 - q^2)^s}, \quad \Re(s) > \frac{3}{2}$$



- scattering phases can be calculated “like” hadron masses
- an integral representation of the $\mathcal{Z}_{00}(1, q^2)$ is obtained by ζ -function regularization

$$\mathcal{Z}_{lm}(1, q^2) = \frac{1}{\sqrt{4\pi}} \sum_{|\mathbf{n}| < \Lambda} \frac{\mathcal{Y}_{lm}(\mathbf{n})}{\mathbf{n}^2 - q^2} + (2\pi)^3 \int_0^\infty dt \left[e^{tq^2} \mathcal{K}_{lm}^\Lambda(t, \mathbf{0}) - \frac{\delta_{l0} \delta_{m0}}{(4\pi)^2 t^{3/2}} \right]$$

- let us introduce into our theory another boson: the “kaon”
- let us switch off the interaction hamiltonian $H_W = \int_{x_0=0} d^3x \mathcal{L}_W(x)$



- when the energy of the scattering state is equal to the kaon mass ($L \simeq 5.5fm$) one gets

$$\|A(\bar{k})\|^2 = 8\pi \left\{ q \frac{\partial \phi(q)}{\partial q} + k \frac{\partial \delta_0(k)}{\partial k} \right\}_{k=\bar{k}} \left(\frac{m_K}{\bar{k}} \right)^3 \|A_L(\bar{k})\|^2$$

- there have been many attempts to cope with such a large volume

Lin & al. hep-lat/0104006
 Christ & Kim hep-lat/0210003
 Kim, Sachrajda & Sharpe hep-lat/0507006
 Christ, Kim & Yamazaki hep-lat/0507009

Lüscher equivalence theorem (i)

Let us consider two spinless bosons of equal mass such that

- the dynamics can be described by a scalar $\lambda\phi^4$ theory
- reflection symmetry ($\phi \mapsto -\phi$) is unbroken
- one particle states are odd under this symmetry

it holds an effective Schrödinger equation

$$-\frac{1}{2\mu} \Delta \psi(\mathbf{r}) + \frac{1}{2} \int d\mathbf{r}' U_E(\mathbf{r}, \mathbf{r}') \psi(\mathbf{r}') = E \psi(\mathbf{r})$$

where

$\psi(\mathbf{r})$ is the Bethe–Salpeter wavefunction

the true energy is $\mathcal{E} = 2\sqrt{m^2 + mE}$

$U_E(\mathbf{r}, \mathbf{r}')$ is exponentially vanishing with $|\mathbf{r}, \mathbf{r}'|$

Lücher equivalence theorem (ii)

the system is equivalent to a non-relativistic quantum mechanical one up to corrections exponentially vanishing with the volume

- the hamiltonian is
- the potential is of finite range
- the potential is periodic

$$\hat{H} = -\Delta + V(r)$$

$$V(r > R) = 0$$

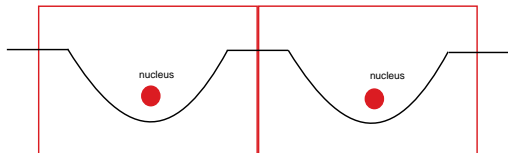
$$V(\|\mathbf{r} + \mathbf{n}L\|) = V(r)$$

$$(\Delta + k^2) \psi_{\theta}(\mathbf{r}) = V(\mathbf{r})\psi_{\theta}(\mathbf{r}), \quad \psi_{\theta}(\mathbf{r} + \mathbf{n}L) = e^{i\theta \cdot \mathbf{n}} \psi_{\theta}(\mathbf{r})$$

note:

Schrödinger equation with a muffin tin potential:

Korringa-Kohn-Rostoker theory



the green function method

let us consider the infinite volume green function

$$(\Delta + k^2) g(\mathbf{r} - \mathbf{r}_0; k^2) = \delta(\mathbf{r} - \mathbf{r}_0)$$

the formal solution is given by

$$\begin{aligned}\psi_\theta(\mathbf{r}) &= \int_{-\infty}^{\infty} d\mathbf{r}_0 g(\mathbf{r} - \mathbf{r}_0; k^2) V(\mathbf{r}_0) \psi_\theta(\mathbf{r}_0) \\ &= \int_0^L d\mathbf{r}_0 g_\theta(\mathbf{r} - \mathbf{r}_0; k^2) V(\mathbf{r}_0) \psi_\theta(\mathbf{r}_0) \\ &= \int_0^R d\mathbf{r}_0 g_\theta(\mathbf{r} - \mathbf{r}_0; k^2) V(\mathbf{r}_0) \psi_\theta(\mathbf{r}_0)\end{aligned}$$

the **greenian** is given by

$$\begin{aligned}g_\theta(\mathbf{r}; k^2) &= \sum_{\mathbf{n} \in \mathbb{Z}^3} e^{i\theta \cdot \mathbf{n}} g(\mathbf{r} - \mathbf{n}L; k^2) \\ &= -\frac{1}{L^3} \sum_{\mathbf{n} \in \mathbb{Z}^3} \frac{e^{i\mathbf{k}_n \cdot \mathbf{r}}}{k_n^2 - k^2} \\ \mathbf{k}_n &= \frac{2\pi \mathbf{n}}{L} + \frac{\theta}{L}\end{aligned}$$

in the end we get

$$\psi_\theta(\mathbf{r}) = \int_0^R d\mathbf{r}_0 g_\theta(\mathbf{r} - \mathbf{r}_0; k^2) (\Delta_{\mathbf{r}_0} + k^2) \psi_\theta(\mathbf{r}_0)$$

quantization condition (i)

from

$$\psi_{\theta}(\mathbf{r}) = \int_0^R d\mathbf{r}_0 g_{\theta}(\mathbf{r} - \mathbf{r}_0; k^2)(\Delta_{\mathbf{r}_0} + k^2)\psi_{\theta}(\mathbf{r}_0)$$

by using the simple identity

$$g\Delta\psi = \psi\Delta g + \nabla \cdot (g\nabla\psi - \psi\nabla g)$$

one gets the **energy quantization condition**

$$\int_{\partial S_R} dS_0 \left[g_{\theta}(\mathbf{r} - \mathbf{r}_0; k^2) \frac{\partial \psi_{\theta}(\mathbf{r}_0)}{\partial r_0} - \psi_{\theta}(\mathbf{r}_0) \frac{\partial g_{\theta}(\mathbf{r} - \mathbf{r}_0; k^2)}{\partial r_0} \right]_{r_0=R} = 0$$

This condition can be rewritten by expanding in spherical harmonics the wavefunction

$$\psi_{\theta}(\mathbf{r}) = \sum_{lm} \alpha_{lm}(\theta, k) R_l(r; k) Y_{lm}(\hat{r}_0) \quad R_l(r, k) = \cos \delta_l(k) j_l(kr) - \sin \delta_l(k) n_l(kr) \quad r \geq R$$

and the greenian

$$\begin{aligned} g_{\theta}(\mathbf{r} - \mathbf{r}_0; k^2) &= k \sum_{lm} j_l(kr) Y_{lm}(\hat{r}) n_l(kr_0) Y_{lm}^*(\hat{r}_0) \\ &+ \sum_{lm'l'} j_l(kr) Y_{lm}(\hat{r}) \mathcal{M}_{lm, l' m'}(\theta, k^2) j_{l'}(kr_0) Y_{l' m'}(\hat{r}_0) \end{aligned}$$

quantization condition (ii)

after substitution one gets an homogeneous linear system

$$q \cos \delta_l(k) \alpha_{lm} + \sum_{l'm'} \mathcal{M}_{lm, l'm'} \sin \delta_{l'}(k) \alpha_{l'm'} = 0$$

that has non trivial solutions if and only if

$$\det [\mathcal{M}_{lm, l'm'}(\theta, k) + k \delta_{ll'} \delta_{mm'} \cot \delta_l(k)] = 0$$

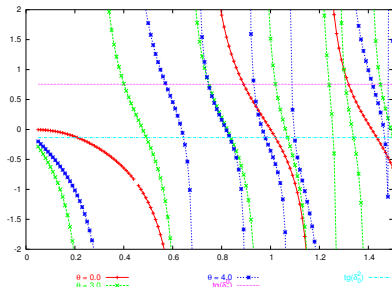
Making the assumption that all the scattering phases vanish except the S-wave, one gets:

$$\tan \delta_0(k) = -\tan \phi(\theta, q),$$

$$q = \frac{kL}{2\pi}, \quad \tan \phi(\theta, q) = -\frac{q\pi^{3/2}}{\mathcal{Z}_{00}(1; \theta; q^2)},$$

$$\mathcal{Z}_{00}(s; \theta; q^2) = \frac{1}{\sqrt{4\pi}} \sum_{\mathbf{n} \in \mathbb{Z}^3} \frac{1}{\left[\left(\mathbf{n} + \frac{\theta}{2\pi} \right)^2 - q^2 \right]^s}$$

$$\Re(s) > \frac{3}{2}$$



what about the real world... (i)

- in [hep-lat/0409154](#) we claimed that the results discussed so far were useful for $K \rightarrow \pi\pi$ decays
- in [hep-lat/0411033](#) Sachrajda & Villadoro pointed out that we were **wrong**

we are breaking isospin (not I_3)

$$S = \int d^4x \bar{u}(x) \left[\not{p} - i \frac{\theta_u}{L} + m \right] u(x) + \int d^4x \bar{d}(x) \left[\not{p} - i \frac{\theta_d}{L} + m \right] d(x)$$

for one particle states

$$\frac{\Delta m_{\pi^\pm}^2}{m_{\pi^\pm}^2} \mapsto \frac{3m_\pi^2 e^{-m_\pi L}}{(2\pi f_\pi^2 m_\pi L)^{3/2}}$$

$$\frac{\Delta m_{\pi^0}^2}{m_{\pi^0}^2} \mapsto \frac{3m_\pi^2 e^{-m_\pi L}}{(2\pi f_\pi^2 m_\pi L)^{3/2}} \left(\frac{2}{3} \sum_{i=0}^3 \cos \theta_i - 1 \right)$$

- partially twisting (see [Flynn & al. [hep-lat/0506016](#)] for a numerical study)

$$\frac{\Delta f_{K^\pm}}{f_{K^\pm}} \mapsto -\frac{m_\pi^2 e^{-m_\pi L}}{f_\pi^2 (2\pi m_\pi L)^{3/2}} \left(\frac{9}{4} \right) - \frac{m_\pi^2 e^{-m_\pi L}}{f_\pi^2 (2\pi m_\pi L)^{3/2}} \left(\frac{1}{2} \sum_{i=1}^3 \cos(\theta_i) + \frac{3}{4} \right) - \frac{m_\pi^2 e^{-m_\pi L}}{f_\pi^2 (2\pi m_\pi L)^{3/2}} \left(\sum_{i=1}^3 \cos(\theta_i) - \frac{3}{4} \right)$$

what about the real world... (ii)

- in the case of two particle states we have mixing
- in the neutral two-pion sector we get a mixing $|\pi\pi\rangle_{I=2} \longleftrightarrow |\pi\pi\rangle_{I=0}$
- Sachrajda & Villadoro argument goes as follows:

$$\langle 0 | \pi^0(t) \pi^0(t) \sigma(0) | 0 \rangle = A_{00} e^{-tE_0} + B_{00} e^{-tE_1} + \dots$$

$$\langle 0 | \pi^+(t) \pi^-(t) \sigma(0) | 0 \rangle = A_{+-} e^{-tE_0} + B_{+-} e^{-tE_1} + \dots$$

- by measuring (in principle) the “red” coefficients one can build the interpolation operators that have no overlap with the energy eigenstates ($|E_0\rangle$ and $|E_1\rangle$)
- but then there is no way to use this informations ...

- since I_3 is unbroken so we can stay within the neutral two-pion subspace

$$\begin{pmatrix} \|\pi^+\pi^-\rangle \\ \|\pi^0\pi^0\rangle \end{pmatrix} = \underbrace{\begin{pmatrix} \sqrt{\frac{1}{3}} & \sqrt{\frac{2}{3}} \\ -\sqrt{\frac{2}{3}} & \sqrt{\frac{1}{3}} \end{pmatrix}}_{\hat{R}} \begin{pmatrix} \|I=2\rangle \\ \|I=0\rangle \end{pmatrix}$$

- in the center-of-mass reference frame one can in principle have a two-pion neutral state that is a superposition of the two possible pion combinations

$$\psi(\mathbf{r}) = \begin{pmatrix} \psi_{+-}(\mathbf{r}) \\ \psi_{00}(\mathbf{r}) \end{pmatrix} = \hat{R} \begin{pmatrix} \psi_{I=2}(\mathbf{r}) \\ \psi_{I=0}(\mathbf{r}) \end{pmatrix} = \hat{R}\psi_I(\mathbf{r})$$

- the boundary conditions for the doublet field can be written as

$$\psi(\mathbf{x} + \mathbf{e}_i L) = \underbrace{\begin{pmatrix} e^{i\theta_i} & 0 \\ 0 & 1 \end{pmatrix}}_{\hat{B}(\theta_i)} \psi(\mathbf{x})$$

$$\psi_I(\mathbf{x} + \mathbf{e}_i L) = \hat{R}^{-1} \hat{B}(\theta_i) \hat{R} \psi(\mathbf{x}) = \hat{B}_I(\theta_i) \psi_I(\mathbf{x})$$

- the Schrödinger equation for this system is

$$\left[\begin{pmatrix} \Delta + k^2 & 0 \\ 0 & \Delta + k^2 \end{pmatrix} - \begin{pmatrix} V_{+-,+ -}(r) & V_{+-,00}(r) \\ V_{00,+ -}(r) & V_{00,00}(r) \end{pmatrix} \right] \psi(\mathbf{r}, t) = 0$$

or, in compact notation

$$\left[\underbrace{(\Delta + k^2)}_{\hat{K}} - \hat{V} \right] \psi = 0$$

- the Schrödinger equation can be written also in the isospin basis, i.e.

$$\left[\hat{K} - \hat{V}_I \right] \psi_I = 0 \quad \hat{V}_I = \hat{R}^{-1} \hat{V} \hat{R} = \begin{pmatrix} V_{I=2}(r) & 0 \\ 0 & V_{I=0}(r) \end{pmatrix}$$

- the greenian is given by

$$\hat{g}_{B_I}(\mathbf{x} - \mathbf{y}) = \sum_{\mathbf{n}} \hat{B}_I(\theta \mathbf{n}) \hat{g}(\mathbf{x} - \mathbf{y} - \mathbf{n}L) = \begin{pmatrix} \frac{g_\theta + 2g_0}{3} & \sqrt{2} \frac{g_\theta - g_0}{3} \\ \sqrt{2} \frac{g_\theta - g_0}{3} & \frac{2g_\theta + g_0}{3} \end{pmatrix}$$

- the quantization condition can be derived as before and is given by

$$\frac{\partial \hat{g}_{B_l}(\mathbf{x} - \mathbf{y})}{\partial \mathbf{y}} \psi_l(\mathbf{y}) - \hat{g}_{B_l}(\mathbf{x} - \mathbf{y}) \frac{\partial \psi_l(\mathbf{y})}{\partial \mathbf{y}} = 0$$

- the S-wave wavefunction can be written as

$$\psi(\mathbf{y}) = j_0(ky) \begin{pmatrix} c_2 \\ c_0 \end{pmatrix} - n_0(ky) \begin{pmatrix} c_2 \tan \delta_2(k) \\ c_0 \tan \delta_0(k) \end{pmatrix}$$

- the greenian can be written as

$$\hat{g}_{B_l}(\mathbf{x} - \mathbf{y}) = \frac{k j_0(kx)}{4\pi} \left[n_0(ky) - \frac{j_0(ky)}{2\pi^{3/2} kL} \begin{pmatrix} \frac{z_{00}(\theta) + 2z_{00}(0)}{3} & \frac{\sqrt{2}[z_{00}(\theta) - z_{00}(0)]}{3} \\ \frac{\sqrt{2}[z_{00}(\theta) - z_{00}(0)]}{3} & \frac{2z_{00}(\theta) + z_{00}(0)}{3} \end{pmatrix} \right]$$

- we get again a linear system

$$\begin{pmatrix} q \operatorname{ctg} \delta_2 - \frac{\mathcal{Z}_{00}(\theta) + 2\mathcal{Z}_{00}(0)}{3\pi^{3/2}} & \frac{\sqrt{2}[\mathcal{Z}_{00}(\theta) - \mathcal{Z}_{00}(0)]}{3\pi^{3/2}} \\ \frac{\sqrt{2}[\mathcal{Z}_{00}(\theta) - \mathcal{Z}_{00}(0)]}{3\pi^{3/2}} & q \operatorname{ctg} \delta_0 - \frac{2\mathcal{Z}_{00}(\theta) + \mathcal{Z}_{00}(0)}{3\pi^{3/2}} \end{pmatrix} \begin{pmatrix} c_2 \tan \delta_2 \\ c_0 \tan \delta_0 \end{pmatrix} = 0$$

- that can have a solution different from the trivial one only if

$$\left[q \operatorname{ctg} \delta_2 - \frac{\mathcal{Z}_{00}(\theta) + 2\mathcal{Z}_{00}(0)}{3\pi^{3/2}} \right] \left[q \operatorname{ctg} \delta_0 - \frac{2\mathcal{Z}_{00}(\theta) + \mathcal{Z}_{00}(0)}{3\pi^{3/2}} \right] = 2 \left[\frac{\mathcal{Z}_{00}(\theta) - \mathcal{Z}_{00}(0)}{3\pi^{3/2}} \right]^2$$

- similar formulas have been obtained in

[He, Feng & Liu [hep-lat/0504019](#)]
[Detmold & Savage [hep-lat/0403005](#)]

- a somehow deeper insight in the previous formula can be gained by diagonalizing the symmetric matrix

$$\begin{pmatrix} A & M \\ M & B \end{pmatrix} = \begin{pmatrix} q \operatorname{ctg} \delta_2 - \frac{z_{00}(\theta) + 2z_{00}(0)}{3\pi^{3/2}} & \frac{\sqrt{2}[z_{00}(\theta) - z_{00}(0)]}{3\pi^{3/2}} \\ \frac{\sqrt{2}[z_{00}(\theta) - z_{00}(0)]}{3\pi^{3/2}} & q \operatorname{ctg} \delta_0 - \frac{2z_{00}(\theta) + z_{00}(0)}{3\pi^{3/2}} \end{pmatrix}$$

$$= \begin{pmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{pmatrix} \begin{pmatrix} A + M \tan \phi & 0 \\ 0 & B - M \tan \phi \end{pmatrix} \begin{pmatrix} \cos \phi & \sin \phi \\ -\sin \phi & \cos \phi \end{pmatrix}$$

where

$$\tan \phi = \frac{-(A - B) + \sqrt{(A - B)^2 + 4M^2}}{2M}$$

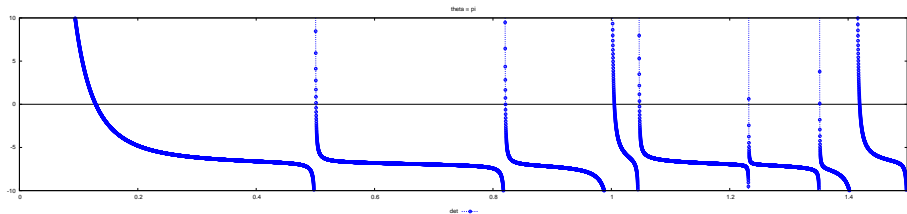
the two eigenvalues are given by

$$\frac{(A + B) + \sqrt{(A - B)^2 + 4M^2}}{2}$$

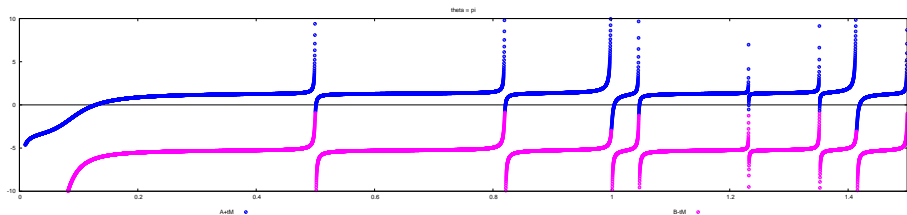
$$\frac{(A + B) - \sqrt{(A - B)^2 + 4M^2}}{2}$$

two state model (vi)

- the determinant quantization condition for $\theta = \pi$



- the two eigenvalues for $\theta = \pi$



By assuming negligible finite volume corrections one can

- choose two values of θ such that the energy of the scattering state is fixed (. . . **different volumes!**)
- solve together the two corresponding quantization conditions
- extract the $l = 2$ and $l = 0$ scattering phases.

- the mixing can be calculated ($\tan \phi$). . . **further generalization of LL formula?**