# Nonunitary mixing: current constraints and new ambiguity 

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## Outline

(1) Theoretical motivation
(2) The main formalism
(3) Constraints from non universality
(4) Oscillations

(5) The CP phase

## Seesaw schemes

$$
\left[\begin{array}{cc}
M_{L} & D \\
D^{T} & M_{R}
\end{array}\right] \quad\left[\begin{array}{ccc}
0 & D & 0 \\
D^{T} & 0 & M \\
0 & M^{T} & \mu
\end{array}\right]
$$

$\frac{n(n-1)}{2}$ mixing angles
$\frac{(n-1)(n-2)}{2}$ phases

Minkowski 1977, Gell-Mann Ramond Slanski 1979, Yanagida 1979, Mohapatra Senjanovic 80, Schechter Valle 1980.

## Mixing matrix

$$
U^{n \times n}=\omega_{n-1 n} \omega_{n-2 n} \ldots \omega_{1 n} \omega_{n-2 n-1} \omega_{n-3 n-1} \ldots \omega_{1 n-1} \ldots \omega_{23} \omega_{13} \omega_{12}
$$

$$
\omega_{i j}=\left(\begin{array}{ccccccccc}
1 & 0 & & \cdots & 0 & \cdots & & & 0 \\
0 & 1 & & & & & & \\
\vdots & & c_{i j} & \cdots & 0 & \cdots & \eta_{i j} & & \\
& & \vdots & \ddots & & & \vdots & & \\
& & 0 & & 1 & & 0 & & \\
& & \vdots & & & \ddots & \vdots & & \\
& & \bar{\eta}_{i j} & \cdots & 0 & \cdots & c_{i j} & & \vdots \\
\vdots & & & & & & & 1 & 0 \\
0 & & & \cdots & 0 & \cdots & & 0 & 1
\end{array}\right)
$$

## Mixing matrix

$$
U^{N P}=\omega_{n-1 n} \omega_{n-2 n} \ldots \omega_{2 n} \omega_{1 n} \omega_{n-2 n-1} \ldots \omega_{2 n-1} \omega_{1 n-1} \ldots \omega_{34} \omega_{24} \omega_{14}
$$

$$
\begin{gathered}
U^{3 \times 3}=\omega_{23} \omega_{13} \omega_{12} \\
\omega_{13}=\left(\begin{array}{cccc}
c_{13} & 0 & e^{-i \phi_{13}} s_{13} & \\
0 & 1 & 0 & \vdots \\
-e^{i \phi_{13}} s_{13} & 0 & c_{13} & \\
& \cdots & & 1
\end{array}\right)
\end{gathered}
$$

with $s_{i j}=\sin \theta_{i j}, c_{i j}=\cos \theta_{i j}, \eta_{i j}=e^{-i \phi_{i j}} \sin \theta_{i j}$, and $\bar{\eta}_{i j}=-e^{i \phi_{i j}} \sin \theta_{i j}$

## Mixing matrix

$$
\begin{gathered}
U_{\alpha i}^{n \times n}=\left(\begin{array}{cc}
N & S \\
V & T
\end{array}\right) \\
N N^{\dagger}+S S^{\dagger}=I \\
N^{\dagger} N+V^{\dagger} V=I
\end{gathered}
$$

## Mixing matrix

$$
\begin{gathered}
N=N^{N P} U^{3 \times 3}=\left(\begin{array}{ccc}
\alpha_{11} & 0 & 0 \\
\alpha_{21} & \alpha_{22} & 0 \\
\alpha_{31} & \alpha_{32} & \alpha_{33}
\end{array}\right) U^{3 \times 3} \\
\alpha_{11}=c_{1 n} c_{1 n-1} c_{1 n-2} \ldots c_{14} \\
\alpha_{22}=c_{2 n} c_{2 n-1} c_{2 n-2} \ldots c_{24} \\
\alpha_{33}=c_{3 n} c_{3 n-1} c_{3 n-2} \ldots c_{34}
\end{gathered}
$$

Escrihuela, Forero, OGM, Tortola, Valle PRD 93053009 (2015)

## Mixing matrix

$$
\begin{aligned}
\alpha_{21}=c_{2 n} c_{2 n-1} \ldots c_{25} \eta_{24} \bar{\eta}_{14} & +c_{2 n} \ldots c_{26} \eta_{25} \bar{\eta}_{15} c_{14}+ \\
\ldots & +\eta_{2 n} \bar{\eta}_{1 n} c_{1 n-1} c_{1 n-2} \ldots c_{14} \\
\alpha_{32}=c_{3 n} c_{3 n-1} \ldots c_{35} \eta_{34} \bar{\eta}_{24} & +c_{3 n} \ldots c_{36} \eta_{35} \bar{\eta}_{25} c_{24}+ \\
\ldots & +\eta_{3 n} \bar{\eta}_{2 n} c_{2 n-1} c_{2 n-2} \ldots c_{24}
\end{aligned}
$$

$$
\begin{aligned}
& \eta_{i j}=e^{-i \phi_{i j}} \sin \theta_{i j} \\
& \bar{\eta}_{i j}=-e^{i \phi_{i j}} \sin \theta_{i j}
\end{aligned}
$$

## Mixing matrix

$$
N N^{\dagger}=\left(\begin{array}{lcl}
\alpha_{11}^{2} & \alpha_{11} \alpha_{21}^{*} & \alpha_{11} \alpha_{31}^{*} \\
\alpha_{11} \alpha_{21} & \alpha_{22}^{2}+\left|\alpha_{21}\right|^{2} & \alpha_{22} \alpha_{32}^{*}+\alpha_{21} \alpha_{31}^{*} \\
\alpha_{11} \alpha_{31} & \alpha_{22} \alpha_{32}+\alpha_{31} \alpha_{21}^{*} & \alpha_{33}^{2}+\left|\alpha_{31}\right|^{2}+\left|\alpha_{32}\right|^{2}
\end{array}\right)
$$

## Mixing matrix

$$
\begin{gathered}
N=N^{N P} U^{3 \times 3}=\left(\begin{array}{ccc}
\alpha_{11} & 0 & 0 \\
\alpha_{21} & \alpha_{22} & 0 \\
\alpha_{31} & \alpha_{32} & \alpha_{33}
\end{array}\right) U^{3 \times 3} \\
\alpha_{11}=c_{1 n} c_{1 n-1} c_{1 n-2} \ldots c_{14} \\
\alpha_{22}=c_{2 n} c_{2 n-1} c_{2 n-2} \ldots c_{24} \\
\alpha_{33}=c_{3 n} c_{3 n-1} c_{3 n-2} \ldots c_{34}
\end{gathered}
$$

Escrihuela, Forero, OGM, Tortola, Valle PRD 93053009 (2015)

## Current constraints

beta decay

$$
\begin{gather*}
\propto\left[\bar{e}_{L} \gamma_{\mu} \sum N_{1 i} \nu_{i L}\right]  \tag{1}\\
G_{\beta}=G_{F} \sqrt{\left(N N^{\dagger}\right)_{11}}=G_{F} \sqrt{\alpha_{11}^{2}} .
\end{gather*}
$$

## Current constraints

## muon decay

$$
\begin{gather*}
\propto\left[\sum N_{2 j}^{*} \bar{\nu}_{j L} \gamma^{\mu} \mu_{L}\right]\left[\bar{e}_{L} \gamma_{\mu} \sum N_{1 i} \nu_{i L}\right]  \tag{2}\\
G_{\mu}=G_{F} \sqrt{\left(N N^{\dagger}\right)_{11}\left(N N^{\dagger}\right)_{22}}=G_{F} \sqrt{\alpha_{11}^{2}\left(\alpha_{22}^{2}+\left|\alpha_{21}\right|^{2}\right)}
\end{gather*}
$$

## Current constraints

$$
\begin{gathered}
\sum_{i=1}^{3}\left|V_{u i}\right|^{2}=\left(\frac{G_{\beta}}{G_{\mu}}\right)^{2}=\left(\frac{G_{F} \sqrt{\left(N N^{\dagger}\right)_{11}}}{G_{F} \sqrt{\left(N N^{\dagger}\right)_{11}\left(N N^{\dagger}\right)_{22}}}\right)^{2}=\frac{1}{\left(N N^{\dagger}\right)_{22}} \\
\sum_{i=1}^{3}\left|V_{u i}\right|^{2}=\frac{1}{\alpha_{22}^{2}+\left|\alpha_{21}\right|^{2}}=0.9999 \pm 0.0006 \\
\text { PDG Chin.Phys. C38 (2014) } 090001
\end{gathered}
$$

## Current constraints

$$
\begin{aligned}
R_{\pi} & =\frac{\Gamma\left(\pi^{+} \rightarrow e^{+} \nu\right)}{\Gamma\left(\pi^{+} \rightarrow \mu^{+} \nu\right)} \\
r_{\pi}=\frac{R_{\pi}}{R_{\pi}^{S M}}= & \frac{\left(N N^{\dagger}\right)_{11}}{\left(N N^{\dagger}\right)_{22}}=\frac{\alpha_{11}^{2}}{\alpha_{22}^{2}+\left|\alpha_{21}\right|^{2}} \\
r_{\pi}= & 0.9956 \pm 0.0040 \\
& \text { PDG Chin.Phys. C38 (2014) } 090001
\end{aligned}
$$

## Oscillation probabilities

$$
\begin{aligned}
P_{\mu e}=\sum_{i, j}^{3} N_{\mu i}^{*} N_{e i} N_{\mu j} N_{e j}^{*} & -4 \sum_{j>i}^{3} \operatorname{Re}\left[N_{\mu j}^{*} N_{e j} N_{\mu i} N_{e i}^{*}\right] \sin ^{2}\left(\frac{\Delta m_{j i}^{2} L}{4 E}\right) \\
& +2 \sum_{j>i}^{3} \operatorname{Im}\left[N_{\mu j}^{*} N_{e j} N_{\mu i} N_{e i}^{*}\right] \sin \left(\frac{\Delta m_{j i}^{2} L}{2 E}\right) .
\end{aligned}
$$

## Oscillation probabilities

$$
\begin{gathered}
P_{\mu e}=\left(\alpha_{11} \alpha_{22}\right)^{2} P_{\mu e}^{3 \times 3}+\alpha_{11}^{2} \alpha_{22}\left|\alpha_{21}\right| P_{\mu e}^{\prime}+\alpha_{11}^{2}\left|\alpha_{21}\right|^{2} \\
P_{\mu e}^{\prime}=-2\left[\sin \left(2 \theta_{13}\right) \sin \theta_{23} \sin \left(\frac{\Delta m_{31}^{2} L}{4 E_{\nu}}\right) \sin \left(\frac{\Delta m_{31}^{2} L}{4 E_{\nu}}+\phi+\delta_{C P}\right)\right] \\
- \\
\cos \theta_{13} \cos \theta_{23} \sin \left(2 \theta_{12}\right) \sin \left(\frac{\Delta m_{21}^{2} L}{2 E_{\nu}}\right) \sin (\phi)
\end{gathered}
$$

$$
\text { with }-\delta_{C P}=\phi_{12}-\phi_{13}+\phi_{23} \text { and } \phi=I_{N P}=\phi_{12}-\operatorname{Arg}\left(\alpha_{21}\right)
$$

## Oscillation probabilities

$$
P_{\mu e}=\alpha_{11}^{2}\left|\alpha_{21}\right|^{2}=\frac{1}{2}\left[\sin ^{2}\left(2 \theta_{\mu e}\right)\right]_{\mathrm{eff}}
$$



NOMAD Coll. PLB 570 (2003) 19

## Current constraints

P. Astier et al. Search for nu(mu) $\rightarrow$ nu(e) oscillations in the NOMAD experiment. Phys. Lett., B570:19-31, 2003.

$$
\left|\alpha_{21}\right|^{2} \leq 0.0007
$$

## Current constraints

K.A. Olive et al. Review of Particle Physics. Chin.Phys., C38:090001, 2014. A. Abada, A.M. Teixeira, A. Vicente, and C. Weiland. JHEP, 1402:091, 2014.
G. Czapek et al. Phys. Rev. Lett., 70:17-20, 1993.
P. Astier et al. Search for nu(mu) $\rightarrow$ nu(e) oscillations in the NOMAD experiment. Phys. Lett., B570:19-31, 2003.

$$
\alpha_{11}^{2} \geq 0.989, \quad \alpha_{22}^{2} \geq 0.999, \quad\left|\alpha_{21}\right|^{2} \leq 0.0007
$$

Limits at $90 \% \mathrm{CL}$

## Oscillation probabilities

$$
\begin{gathered}
P_{\mu e}=\left(\alpha_{11} \alpha_{22}\right)^{2} P_{\mu e}^{3 \times 3}+\alpha_{11}^{2} \alpha_{22}\left|\alpha_{21}\right| P_{\mu e}^{\prime}+\alpha_{11}^{2}\left|\alpha_{21}\right|^{2} \\
P_{\mu e}^{\prime}=-2\left[\sin \left(2 \theta_{13}\right) \sin \theta_{23} \sin \left(\frac{\Delta m_{31}^{2} L}{4 E_{\nu}}\right) \sin \left(\frac{\Delta m_{31}^{2} L}{4 E_{\nu}}+\phi+\delta_{C P}\right)\right] \\
- \\
\cos \theta_{13} \cos \theta_{23} \sin \left(2 \theta_{12}\right) \sin \left(\frac{\Delta m_{21}^{2} L}{2 E_{\nu}}\right) \sin (\phi)
\end{gathered}
$$

$$
\text { with }-\delta_{C P}=\phi_{12}-\phi_{13}+\phi_{23} \text { and } \phi=I_{N P}=\phi_{12}-\operatorname{Arg}\left(\alpha_{21}\right)
$$

## CP-phase ambiguity



OGM, Tortola, Valle, PRL 117 (2016) 061804

## CP-phase ambiguity



OGM, Tortola, Valle, PRL 117 (2016) 061804

## On the positive side

- matter effects may also contribute to the signal
- Non-standard interactions may also contribute to the matter potential making the phenomenology more interesting
Forero, Huber PRL 117 (2016) 031801
Forero, Huang 1608.04719


## The drawbacks

- Any improvement in the restriction of $\left|\alpha_{21}\right|$ leads to a diminish in the effect of the new phase (at least in vacuum).
- If we consider specific models for extra heavy neutral isosinglets, such as the seesaw, $\left|\alpha_{21}\right|$ gets more restricted.


## Conclusions

- We have shown a parametrization that is useful from the phenomenological point of view and it is general for any number of extra neutral heavy leptons.
- The parametrization incorporates naturally the right number of parameters for a non unitary mixing matrix.
- Non unitarity will introduce new phases and their effect in the conversion probability have been shown.
- In the case of big values of the non diagonal $\alpha$ parameters a signal might be hinted if both neutrino chanels are measured.
- Otherwise, LBLN experiments could give complementary constraints on these parameters in future.


## Thanks

## CP-phase ambiguity



OGM, Tortola, Valle, PRL 117 (2016) 061804

